

MeFoSyLoMa 11/7/2014

## Weak Fairness is So Revealing !

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*with S. Balaguer, Th. Chatain, V. Germanos,  
C. Kern, V. Khomenko, C. Rodriguez, S. Schwoon . . .*

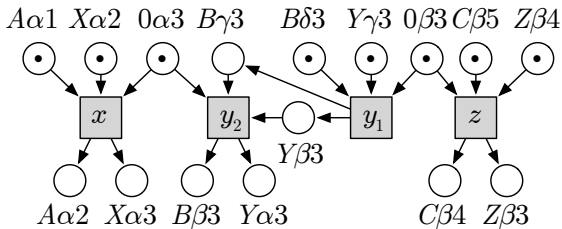
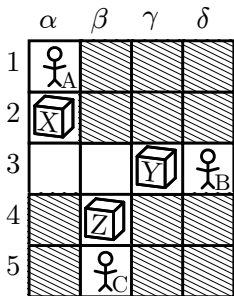
July 11, 2014

- 1 What Occurrence Nets Reveal
- 2 Reveal Your Faults: Weak Diagnosis
- 3 WF Diagnosability
- 4 Conclusion

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# Some actions reveal one another

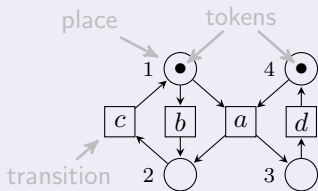


$z$  prevents  $y_1$  ... and therefore makes  $x$  inevitable:

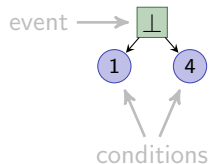
$z$  reveals  $x$  :  $z \triangleright x$

# Petri nets, Processes, Branching Processes and Unfoldings

*Petri net:*

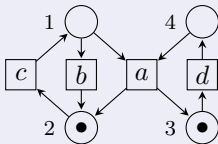


*Process:* representation of a non-sequential run as a partial order.

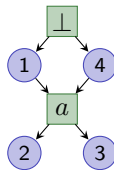


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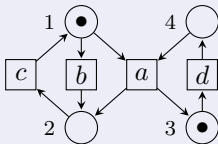


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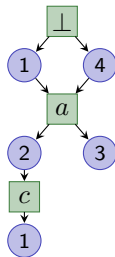


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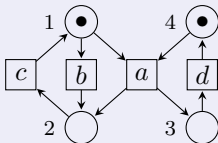


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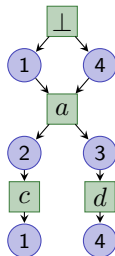


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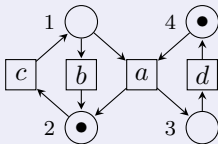
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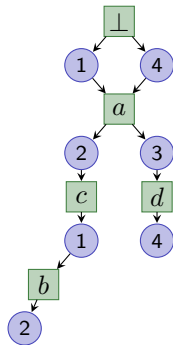


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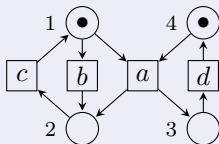


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# Petri nets, Processes, Branching Processes and Unfoldings

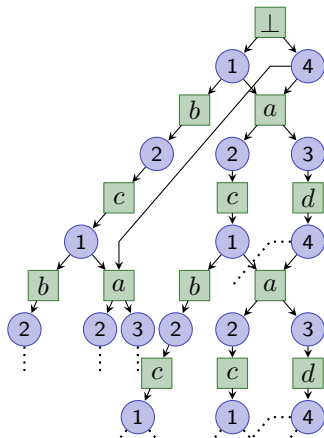
*Petri net:*



*Process:* representation of a non-sequential run as a partial order.

*Branching process:* representation of several runs.

*Unfolding:* maximal branching process.

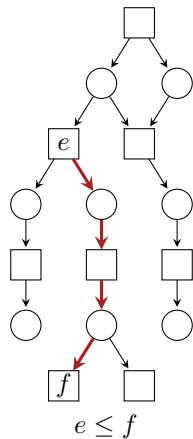


# Nets and Structural Relations

The structure of a net induces three relations over its nodes:

Causality  $\leq$

$e \leq f \stackrel{\text{def}}{\iff} e F^* f$  (directed path from  $e$  to  $f$ )



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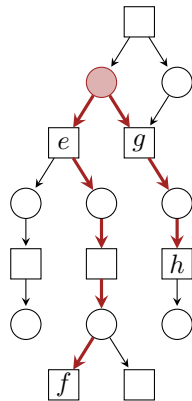
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Conflict  $\#$

$$e \#_d g \stackrel{\text{def}}{\iff} e \neq g \wedge \bullet e \cap \bullet g \neq \emptyset$$

$$f \# h \stackrel{\text{def}}{\iff} \exists e \leq f, g \leq h : e \#_d g$$



$$e \#_d g$$

$$f \# h$$

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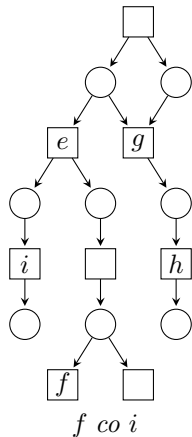
## Conflict $\#$

$$e \#_d g \stackrel{\text{def}}{\Leftrightarrow} e \neq g \wedge \bullet e \cap \bullet g \neq \emptyset$$

$$f \# h \stackrel{\text{def}}{\Leftrightarrow} \exists e \leq f, g \leq h : e \#_d g$$

## Concurrency $co$

$$f co i \stackrel{\text{def}}{\Leftrightarrow} \neg(i \# f) \wedge \neg(i \leq f) \wedge \neg(f \leq i)$$

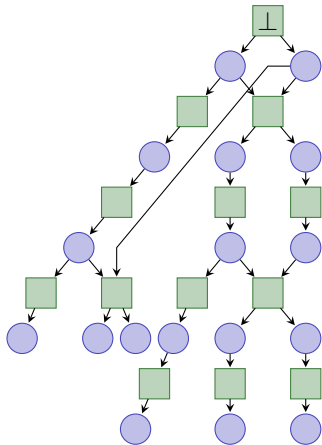


# Occurrence Nets [Nielsen, Plotkin, Winskel, 1980]

## Definition (Occurrence net)

An *occurrence net* (ON) is a net  $(B, E, F)$  where  $B$  and  $E$  are the sets of *conditions* and *events*, and which satisfies:

- 1 no self-conflict,
- 2 acyclicity
- 3 finite causal pasts:  $\forall e \in E$ ,  
 $[e] \stackrel{def}{=} \{e' : e' \leq e\}$  is finite.
- 4 no backward branching for conditions,
- 5  $\perp \in E$  is the only  $\leq$ -minimal node  
 (event  $\perp$  creates the initial conditions).



# Weak Fairness in PNs

## Spoilers

Let  $t \in T$ . The set of  $t$ 's *spoilers* is

$$\text{spoil}(t) \stackrel{\text{def}}{=} \{t' \in T \mid \bullet t' \cap \bullet t \neq \emptyset\}.$$

Note :  $t \in \text{spoil}(t)$  !

## Weak Fairness (Vogler 1995)

Infinite run  $\sigma = t_1 t_2 \dots \in T^\infty$  of  $N$ , with marking sequence  $m_1 m_2 \dots$ , is *weakly fair* for  $t \in T$  if and only if for all  $i \in \mathbb{N}$ ,

$$m_i \xrightarrow{t} \Rightarrow \exists j > i : t_j \in \text{spoil}(t).$$

$\sigma$  is *weakly fair* iff it is w.f. for all  $t \in T$ .

## Theorem

$\sigma$  is weakly fair iff it is the interleaving of some maximal run  $\omega$  of  $N$ .

# Configurations and Runs

## Definitions (Configurations and Runs of an ON)

A *configuration* is a set  $\omega$  of events which is

- **causally closed**:  $\forall e \in \omega, [e] \subseteq \omega$ ,
- **conflict free**:  $\forall e \in \omega, \#[e] \cap \omega = \emptyset$ .

A run is *maximal* iff it is maximal w.r.t.  $\subseteq$ .

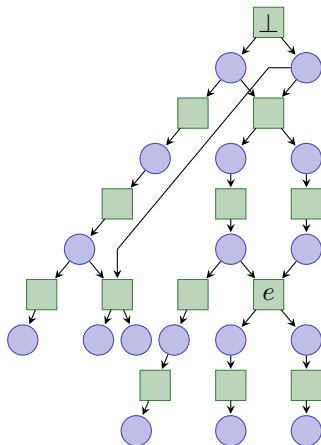
## Notation

$\Omega$  denotes the set of *maximal runs*.

## Interpretation

$\Omega$  gives exactly the *weakly fair* (nonsequential) executions:

- No transition remains enabled for ever (i.e. without firing, or being disabled by a conflicting transition): *weak fairness*





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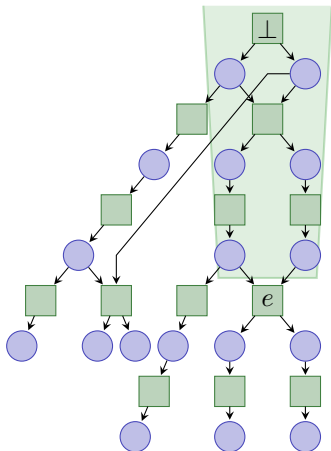
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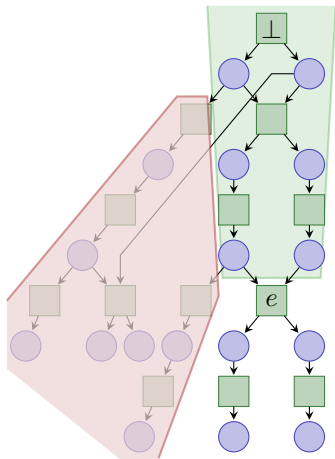
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## Structural relations vs logical relations

- The structural relations imply *logical dependencies* between event occurrences:
  - $a \leq b \Rightarrow (\forall \omega \in \Omega, b \in \omega \Rightarrow a \in \omega)$ ,
  - $a \# b \Leftrightarrow \forall \omega \in \Omega, \{a, b\} \not\subseteq \omega$ ,
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## Here

- Formalization of logical dependencies in a *relational framework* with *reveals* relations  $\triangleright$  and  $\rightarrow$
- Reduction of Occurrence nets by contracting *facets*
- Concurrency vs Independence : *tight nets*
- Connection with diagnosis under partial observation

# Reveals Relation [Haar, 2010]

## Definition (Reveals relation $\triangleright$ )

Event  $e$  *reveals* event  $f$ , written  $e \triangleright f$ , iff  $\forall \omega \in \Omega, (e \in \omega \Rightarrow f \in \omega)$ .

## Causal closure

$\forall x, y \in E, x \leq y \Rightarrow y \triangleright x$

$d \triangleright a,$

$h \triangleright \perp,$

$a \triangleright d$

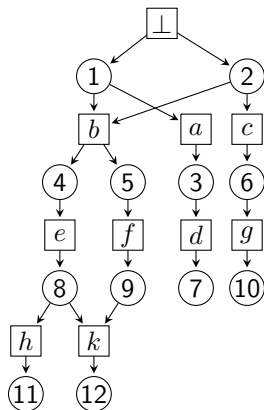
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because for any maximal run  $\omega$ ,

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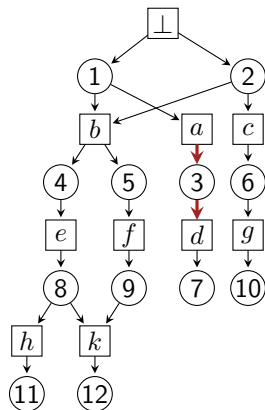
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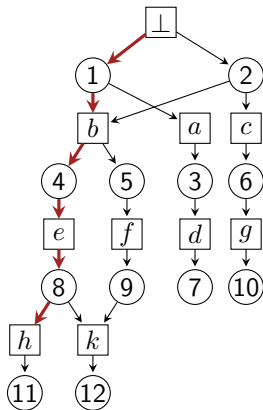
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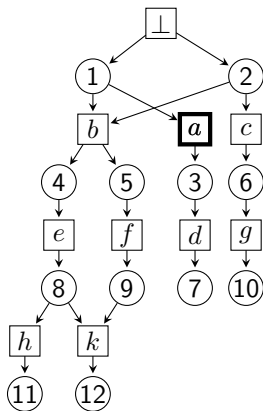
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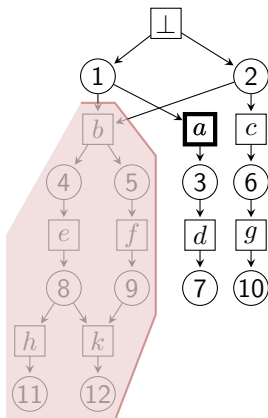
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## Lemma

*Lemma: Characterization of  $\Omega$  by  $\#$  A set of events  $\omega$  is a maximal run iff*

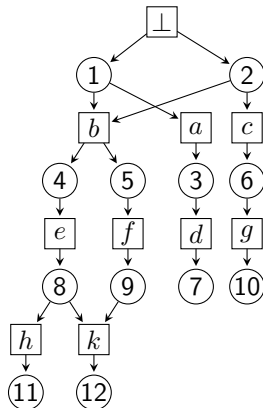
$$\forall a \in E, a \notin \omega \Leftrightarrow \#[a] \cap \omega \neq \emptyset$$

where  $\#[e] \stackrel{\text{def}}{=} \{f \in E \mid f \# e\}$ .

## Characterization of $\triangleright$ by $\#$

$\forall e, f \in E, e \triangleright f \Leftrightarrow \#[f] \subseteq \#[e]$

i.e. any event that could prevent the occurrence of  $f$  is prevented by the occurrence of  $e$ .



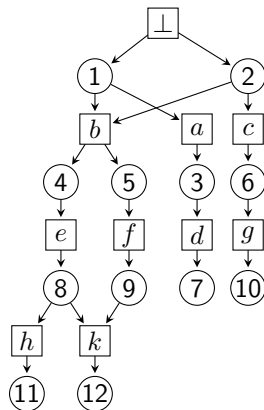
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## Properties

- $\triangleright$  is reflexive and transitive, but it is **not antisymmetric in general**.
- The conflict relation ( $\#$ ) is inherited under  $\triangleright^{-1}$ :  $g \triangleright a \wedge a \# b \Rightarrow g \# b$ .



# Computing $\triangleright$ : Finding witnesses [HKS 2011]

## Definition

Let  $U_M$  be the first complete finite prefix of  $(N, M)$ , and  $K_M$  the height of  $U_M$ ; then set

$$K := \max_{M \in \mathcal{R}(M_0)} K_M.$$

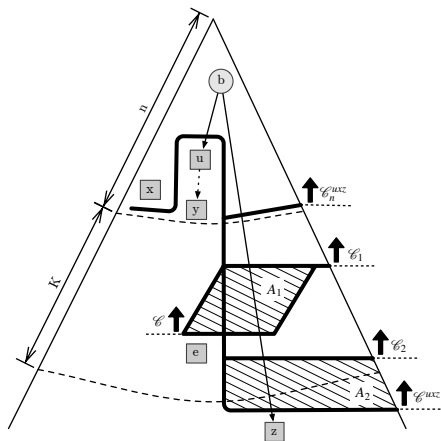
## Theorem [HKS 2011]

For any two events  $x, y$  such that  $\neg(x \triangleright y)$ , there exists an event  $z$  such that

$$z \# y$$

$$\neg(z \# x)$$

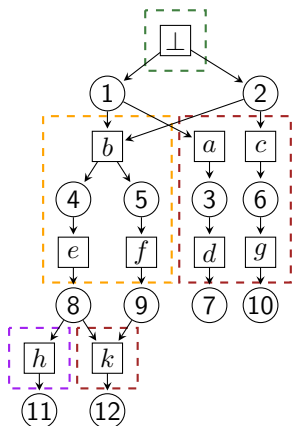
$$\mathbf{h}(z) \leq K + \max(\mathbf{h}(x), \mathbf{h}(y))$$



# Facets Abstraction [H2010,BCH2011]

## Definition (Facets)

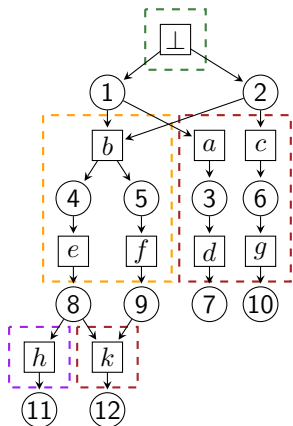
A **facet** of an ON is an equivalence class of  $\sim = \triangleright \cap \triangleright^{-1}$ .



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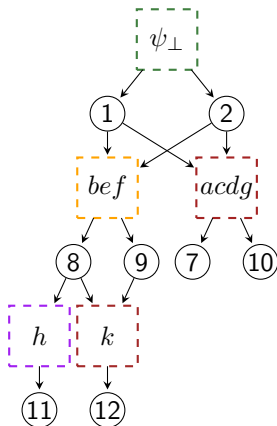
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facets can be contracted into events

## Definition (Reduced ON)

A **reduced ON** is an ON  $(B, \Psi, F)$  such that  $\forall \psi_1, \psi_2 \in \Psi, \psi_1 \sim \psi_2 \Leftrightarrow \psi_1 = \psi_2$ .



## Binary Relations on $\Psi$ and Reduced Nets [H2010,BCH2011]

The causality ( $\leq$ ), conflict ( $\#$ ), concurrency ( $co$ ) and reveals ( $\triangleright$ ) relations naturally extend to  $\Psi$ .

### Lemma

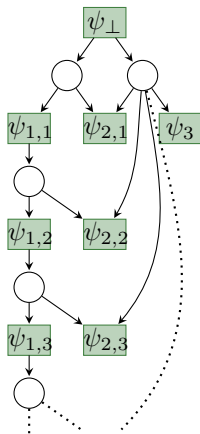
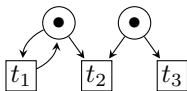
*Lemma 1  $\triangleright$  is a **partial order** on  $\Psi$  ( $\triangleright$  is antisymmetric by definition of a reduced ON).*

$(\Psi, \triangleright^{-1}, \#)$  is an event structure

- $\triangleright^{-1}$  is a partial order, ✓
- The set  $\{\psi' \mid \psi \triangleright \psi'\}$  is not always finite, ✗
- $\#$  is inherited under  $\triangleright^{-1}$ . ✓

# Infinite Revealed Set [BCH2011]

For a facet  $\psi$ , the set  $\{\psi' \mid \psi \triangleright \psi'\}$  may not be finite.



$$\psi_3 \triangleright \psi_{1,i}, \forall i \in \mathbb{N}^*$$



## Binary Relations on $\Psi$ [BCH2011]

The causality ( $\leq$ ), conflict ( $\#$ ), concurrency ( $co$ ) and reveals ( $\triangleright$ ) relations naturally extend to  $\Psi$ .

### Lemma

*Lemma 1*  $\triangleright$  is a *partial order* on  $\Psi$  ( $\triangleright$  is antisymmetric by definition of a reduced ON).

### Lemma

*Lemma 2* For any *finite* reduced ON  $(B, \Psi, F)$ ,  $(\Psi, \triangleright^{-1}, \#)$  is a *prime event structure* since:

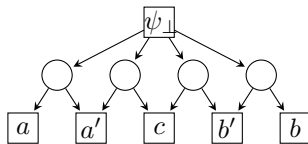
- $\triangleright^{-1}$  is a *partial order*,
- $\forall \psi \in \Psi$ , the set  $\{\psi' \mid \psi \triangleright \psi'\}$  is *finite*,
- $\#$  is *inherited under*  $\triangleright^{-1}$ .

# Concurrency vs Logical Independency [BCH2011]

- $\#$ ,  $\leq$  and  $co$  are mutually exclusive.

## Structural relations and logical dependencies

- $a \# b \Leftrightarrow$  for any run  $\omega$ ,  $\{a, b\} \not\subseteq \omega$ .
- $a \leq b \Rightarrow$  for any run  $\omega$ ,  $b \in \omega \Rightarrow a \in \omega$  ( $b \triangleright a$ ),
- Does  $a co b$  mean  $a$  and  $b$  are logically independent ?  
**No**, they can be related by  $\triangleright$ .



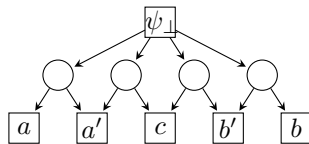
$c co a$  and  $c \triangleright a$   
 $a co b$  and  $a ind b$ .

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**No**, they can be related by  $\triangleright$ .



$c co a$  and  $c \triangleright a$   
 $a co b$  and  $a ind b$ .

## Independency relation $ind$

$$\forall a, b \in \Psi, \quad a ind b \stackrel{def}{\Leftrightarrow} \neg(a \# b) \wedge \neg(b \triangleright a) \wedge \neg(a \triangleright b)$$

$$\Leftrightarrow a co b \wedge \neg(b \triangleright a) \wedge \neg(a \triangleright b)$$

- $\#$ ,  $\triangleright$  and  $ind$  are also mutually exclusive.

# Minimal $\triangleright$ and $\#$ [BCH2011]

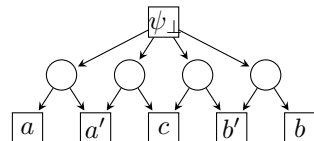
## Immediate conflict relation $\#_i$

$$a \#_i b \stackrel{\text{def}}{\Leftrightarrow} a \# b \wedge \nexists c : \\ (c \neq a \wedge a \triangleright c \wedge c \# b) \vee \\ (c \neq b \wedge b \triangleright c \wedge c \# a)$$

## Immediate reveals relation $\triangleright_i$

Transitive reduction of  $\triangleright$ : let  $a \triangleright_i b \stackrel{\text{def}}{\Leftrightarrow}$  iff

- $a \triangleright b$  and  $a \neq b$
- for all  $c$ :  $a \triangleright c \triangleright b \Rightarrow c \in \{a, b\}$



$$\Omega = \{ \{ \psi_{\perp}, a, b, c \}, \{ \psi_{\perp}, a, b' \}, \\ \{ \psi_{\perp}, a', b \}, \{ \psi_{\perp}, a', b' \} \}$$

$\neg(c \#_i a')$  since  $c \triangleright a$  and  $a \# a'$   
 $\neg(c \triangleright_i \psi_{\perp})$  since  $c \triangleright a$  and  $a \triangleright \psi_{\perp}$

## Remarks

- $\triangleright = \triangleright_i^*$ ,
- $\# = (\triangleright_i^{-1})^* \circ \#_i \circ \triangleright_i^*$  ( $\triangleright$ -inheritance of  $\#$ ),
- Therefore  $\triangleright_i$  and  $\#_i$  define  $\Omega$  (characterization of  $\Omega$  by  $\#$ ).

# "Tightening" a Reduced ON [BCH2011]

## Tight net

A **tight net** is a reduced ON  $(B, \Psi, F)$  such that  $\forall a, b \in \Psi$ ,  $a \triangleright b \Leftrightarrow b \leq a$ .

## Violations of tightness

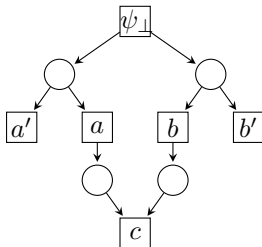
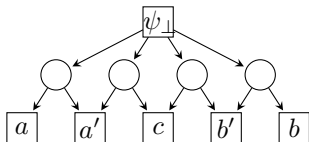
$a, b \in \Psi$  such that

- $a \text{ co } b$
- $a \triangleright b$

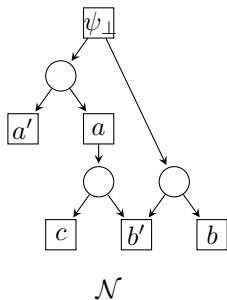
## Net Surgery

Add a condition from  $b$  to  $a$  for all  $a, b$  such that

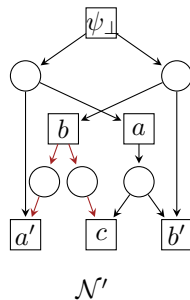
- $a \text{ co } b$
- $a \triangleright_i b$



# Another Example for Tightening [BCH2011]

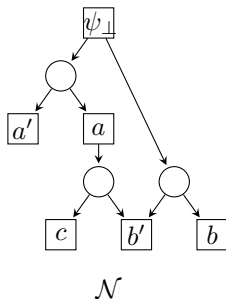


## Constraints

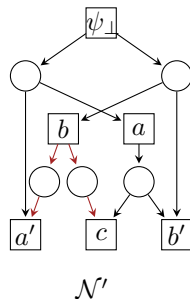
 $a \#_i a'$ 
 $b \#_i b'$ 
 $a \triangleright_i \psi_{\perp}$ 
 $b \triangleright_i \psi_{\perp}$ 
 $c \triangleright_i a$ 
 $c \triangleright_i b$ 
 $a' \triangleright_i b$ 
 $b' \triangleright_i a$ 


$$\Omega = \{\{\psi_{\perp}, a, b, c\}, \{\psi_{\perp}, a, b'\}, \{\psi_{\perp}, a', b\}\}$$

# Another Example for Tightening [BCH2011]



## Constraints

 $a \#_i a'$ 
 $b \#_i b'$ 
 $a \triangleright_i \psi_{\perp}$ 
 $b \triangleright_i \psi_{\perp}$ 
 $c \triangleright_i a$ 
 $c \triangleright_i b$ 
 $a' \triangleright_i b$ 
 $b' \triangleright_i a$ 


$$\Omega = \{\{\psi_{\perp}, a, b, c\}, \{\psi_{\perp}, a, b'\}, \{\psi_{\perp}, a', b\}\}$$

## Definition (Tight net)

A *tight net* is a reduced ON  $(B, \Psi, F)$  such that  $\forall a, b \in \Psi, a \triangleright b \Leftrightarrow b \leq a$ .

# Weak Fairness is So Revealing !

- 1 What Occurrence Nets Reveal
- 2 Reveal Your Faults: Weak Diagnosis**
- 3 WF Diagnosability
- 4 Conclusion



# Reveal Your Faults: Partial observation and Diagnosis



## Assumptions

- Possible behaviours well-known
- Current execution only partially visible

## Goal:

- Determine, from partial observations, whether some invisible event (**fault**) has occurred.

# Sequential Semantics Misses a Point

Suppose that

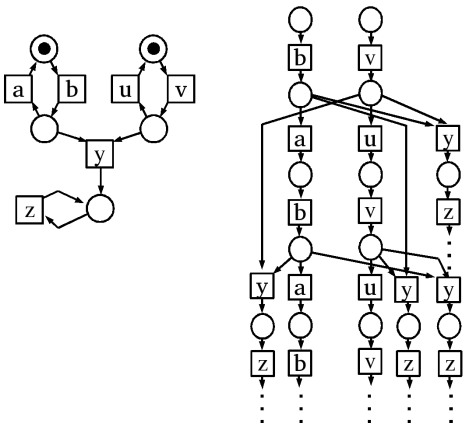
- $T_O = \{b, y\}$
- $\Phi = \{v\}$

$v$  will be correctly diagnosed if  $y$  occurs.

What if not ? If

*bbbbbb...*

is observed, what do we infer about  $v$  ?

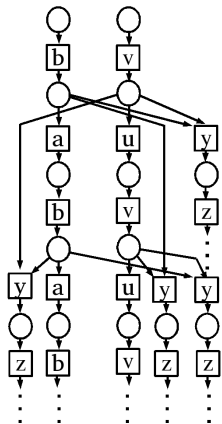
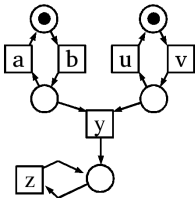


# It's about weak fairness !

Still with

- $T_O = \{b, y\}$
- $\Phi = \{v\}$

the only way for the system to do  $b^\omega$  is to be *unfair* to  $v$ : always enabled, never fired  
*HERE: diagnosis under weak fairness*



# Extended Reveals+Diagnosis

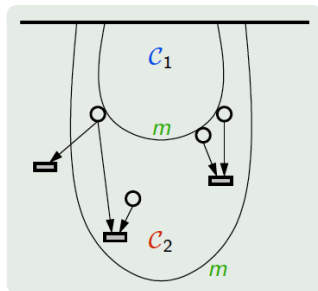
## Application

- $A \rightarrow B$  iff  $\rho$ 's containing  $A$  must hit  $B$
- Used for *weak diagnosis*:  
Given an observation pattern  $\alpha$ , are *all* weakly fair extensions of explanations of  $\alpha$  faulty ?

## Lemma

There is  $\omega$  weakly-fair and fault-free iff there are configurations  $C_1, C_2$  such that:

- 1  $C_1 \subseteq C_2$
- 2  $mark(C_1) = mark(C_2)$
- 3  $C_1$  enables  $e \Rightarrow spoilers(e) \cap C_2 \neq \emptyset$
- 4  $C_2$  is fault-free



# Weak Diagnosis Framework

## Setup

- Safe PN  $N = (P, T, F, M_0)$  with unfolding  $\mathcal{U}_N = (B, E, G, m_0, f)$  and labelling  $\lambda : T \rightarrow \mathcal{A} \cup \{\varepsilon\}$
- $T_{ubs} \stackrel{\text{def}}{=} \lambda^{-1}(\{\varepsilon\})$ ,  $T_{obs} \stackrel{\text{def}}{=} T \setminus T_{ubs}$ ,  $E_{ubs} \stackrel{\text{def}}{=} f^{-1}(T_{ubc})$ ,  $E_\phi \stackrel{\text{def}}{=} f^{-1}(\{\phi\})$  etc.
- Assume observations are *Labeled Partial Orders (LPO)*  
 $lpo(C) = (S_C, <_C, \lambda_C)$  over  $\mathcal{A}$
- $obs(C) \stackrel{\text{def}}{=} compat(lpo(C))$ : the lpo's *compatible* with  $lpo(C)$ , i.e. labeled order extensions of  $lpo(C)$ .
- $C$  *explains observation pattern*  $\alpha$  iff  $\alpha \in obs(C)$
- $expl(\alpha) : \{C \mid \alpha \in obs(C)\}$

## Weak Diagnosis

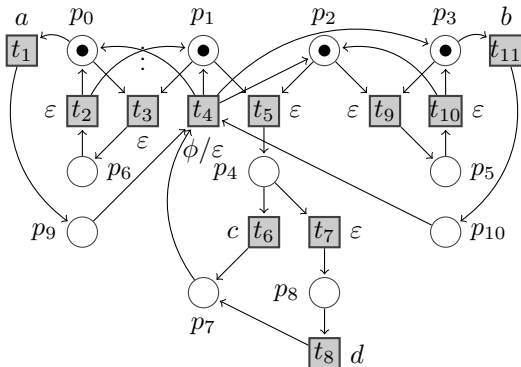
Observation pattern  $\alpha$  *weakly diagnoses* fault  $\phi$  iff

$$C \in expl(\alpha) \Rightarrow C \rightarrow E_\phi$$

# Example

Observation pattern  $\alpha$  weakly diagnoses fault  $\phi$  iff

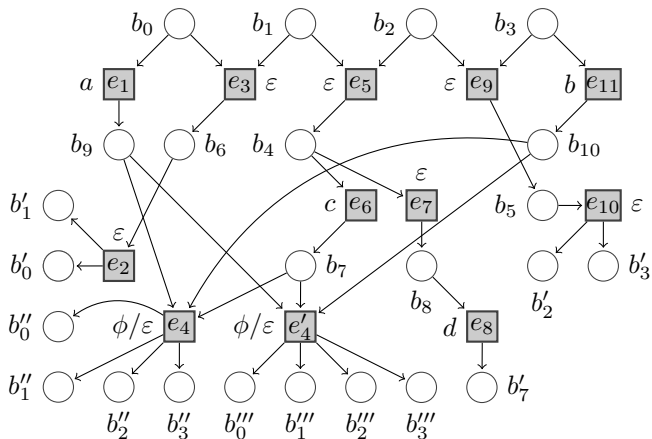
$$C \in \text{expl}(\alpha) \Rightarrow C \rightarrow E_\phi$$



## Example

Any  $\alpha$  containing  $\{a, b\}$  or intersecting  $\{c, d\}$  (weakly) diagnoses  $\phi$  since, e.g.,

$$\begin{aligned} \{e_1, e_{11}\} &\rightarrow \{e_4, e'_4\} \subseteq E_\phi \\ \{e_6\} &\rightarrow \{e_4, e'_4\} \quad , \quad \{e_8\} \rightarrow \{e_4, e'_4\} \end{aligned}$$



# Solving the weak diagnosis problem

## Weak Diagnosis Problem

Need to decide:

$$C \in \text{expl}(\alpha) \stackrel{???}{\implies} C \rightarrow E_\phi \quad (*)$$

## Reduction

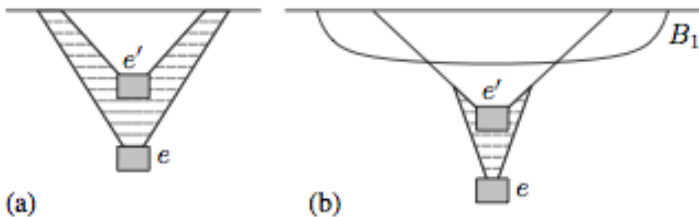
To check (\*), assume w.l.o.g.  $C = \perp$

## Summary

- *Bounded* prefixes suffice to compute all succinct explanations
- Complete finite prefixes can be enriched by finitely many spoilers to exhibit witnesses for "non-diagnosis" (if they exist)



# Towards weak diagnosis



- Take a *marking-complete* prefix  $B_1$
  - Stop unfolding at *sp-cutoff events*: any  $e$  such that there is  $e' < e$  satisfying, for  $D \stackrel{def}{=} [e] \setminus [e']$ ,
    - $f(\bullet D \setminus D \bullet) = f(D \bullet \setminus \bullet D)$
    - $B_1 \cap \bullet D = \emptyset$
- I.e.  $e$  and  $e'$  spoil exactly the same events enabled by configurations from  $B_1$ .

# Decision method

## Prefixes needed

- $P_\alpha$ : contains all *succinct* explanations of  $\alpha$
- $P^1$ : marking-complete
- $P^2$ : contains all *non-sp-cutoffs*;  $P^1 \sqsubseteq P^2$

ALL ARE FINITE !!

## Encoding in SAT

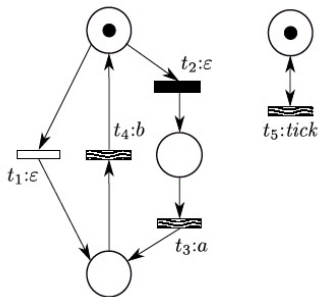
$$\begin{aligned} \text{config}(l, \mathcal{P}) \stackrel{\text{def}}{=} & \left( \bigwedge_{e \in E} \bigwedge_{e' \in \bullet\bullet e} (v_e^l \Rightarrow v_{e'}^l) \right) \wedge \\ & \left( \bigwedge_{c \in B, \{e_1, \dots, e_n\} = c^\bullet} \text{amo}(v_{e_1}^l, \dots, v_{e_n}^l) \right) \wedge \left( \bigwedge_{c \in B} v_c^l \Leftrightarrow \left( \bigwedge_{e \in \bullet c} v_e^l \wedge \bigwedge_{e \in c^\bullet} \neg v_e^l \right) \right) \end{aligned}$$

- Similarly : configuration containment, reachability, enabling, spoiling, explanation,...
- Diagnosis checkable with SAT solvers

# Weak Fairness is So Revealing !

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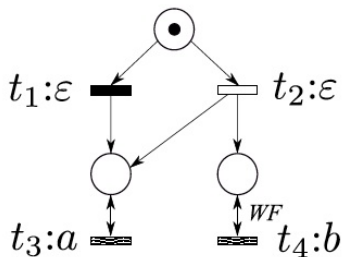
# Checking Diagnosability under WF [ACSD 2014]



## Effect of concurrent component on the right

- Only  $t_5$  destroys diagnosability
- Once  $t_3$  is WF, net is diagnosable

# A non-WF-Diagnosable Net ...



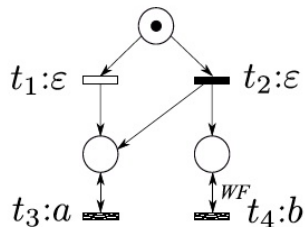
## Def: WF-diagnosability

An LPN is WF-diagnosable iff each infinite WF execution  $\sigma$  containing a fault has a finite prefix  $\hat{\sigma}$  such that every infinite WF execution  $r$  with  $\lambda(\hat{\sigma}) \sqsubseteq \lambda(r)$  contains a fault.

## Note:

Fault Transition depicted in black

... becomes WF-diagnosable with a different fault



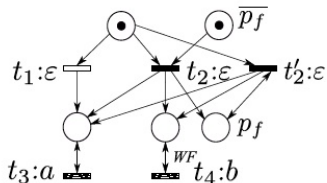
### Def: WF-diagnosability

An LPN is WF-diagnosable iff each infinite WF execution  $\sigma$  containing a fault has a finite prefix  $\hat{\sigma}$  such that every infinite WF execution  $r$  with  $\lambda(\hat{\sigma}) \sqsubseteq \lambda(r)$  contains a fault.

### Note:

Fault Transition depicted in black

# Checking WF-Diagnosability: Fault Tracking Net



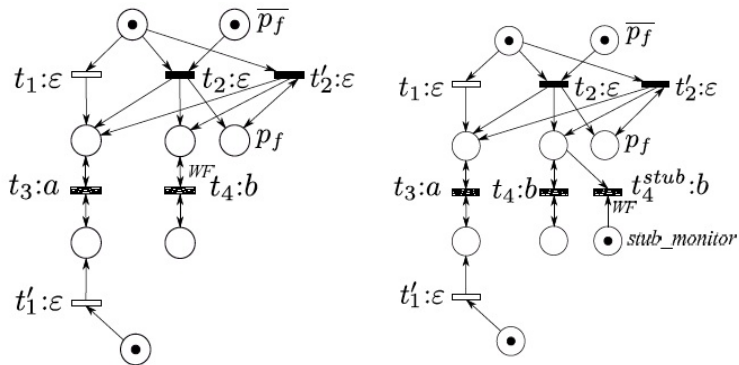
## FTN

- Extend  $N$  with

## Note:

FTN bisimilar to  $N$

# Checking WF-Diagnosability: Verifier Net

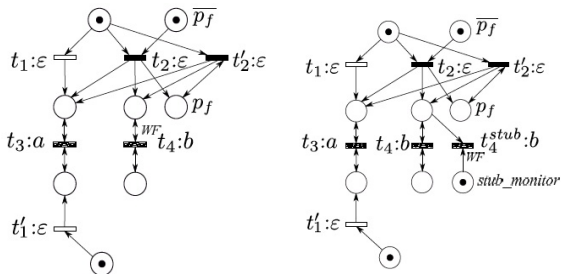


## Verifier 1

- Synchronize FTN  $N_{Ft}$  with copy  $N'_{Ft}$  of itself on observable transitions
- Remove from product all observable transitions of  $N_{Ft}$ .
- Remove from  $N_s$  all observable and fault transitions of  $N'_{Ft}$ .
- Call the resulting net  $V$ .
- $N$  is diagnosable iff  $diag = \square \bar{p}_f$  holds in  $V$



# Checking WF-Diagnosability: Verifier Net



## Verifier 2

- Synchronise FTN  $N_{Ft}$  with copy  $N'_{Ft}$  of itself on obs; **fused transitions non-WF**
- Turn all observable transitions of  $N_{Ft}$  into **stubs**.
- Remove all observable and fault transitions of  $N'_{Ft}$ ; all remaining transitions from  $N'_{Ft}$  **are non-WF**
- Call the resulting net  $V_{WF}$ .
- $N$  is diagnosable iff  $diag = \square \overline{p_f} \vee \neg stub\_monitor$  holds in  $V_{WF}$

# Weak Fairness is So Revealing !

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# Conclusion

## Weak Fairness

- Impact on semantics captured by structural relations
- Exploited in diagnosis ...
- ... and diagnosability

## Temporal vs. logical view of event structures

- ( $\leq$ , #, *co*) vs ( $\triangleright$ , # and *ind*)
- Extended reveals  $\rightarrow$

## To Do

- Link with Opacity / Non-interference
- Use in Control / Test / ... ?
- Extend to contextual, timed, probabilistic models ...

THANKS !